



ganado
advocates



NICHOLAS MICALLEF

Online Course

FINANCIAL MARKETS COMPLIANCE AND REGULATION

14, 15, 16 October 2026
10:00 to 13:00 CET

This course aims to offer participants an overview of the regulatory and compliance landscape, introducing elements of the AIFMD, MiFID and UCITS directives. Participants will be provided a foundation to be able to understand financial regulation and compliance requirements of an MFSA licensed company. The course will explore the optimal compliance structure of a fund or management company, including their basic legal structure, the fiduciary duties of a board of directors, investment committee, MLRO and compliance officer. Furthermore, various AIFMD policies will be discussed, including risk management, remuneration, valuation and insider dealing policies.

9 HOURS

€ 165